PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Docket No: Q64424

05-98-03

Kazunori OZAWA

application of

Appln. No.: 09/852,274

Group Art Unit: 2641

Confirmation No.: 5444

Examiner: Unknown

Filed: May 10, 2001

For: SHEET CODER AND SHEET DECODER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

MAY 2 0 2003

Technology Center 2600

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. World Patent No. 00/11658, published March 2, 2000.
- 2. European Patent Application No. 0 957 472, published November 17, 1999.
- 3. K. Ozawa, "4 KB/S Improved Multi-Pulse Based Celp Speech Coding with Multiple Location Codebook and Post-Processing", 2000 IEEE Workshop on Speech Coding, Proceedings (Meeting the Challenges of the New Millennium), September 17-20, 2000, pp. 17-19.

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INFORMATION DISCLOSURE STATEMENT

4. European Patent Application No. 1 083 546, published March 14, 2001.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Applicant encloses herewith a copy of a corresponding European Search Report dated February 26, 2003 citing such documents and indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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Respectfully submitted,

WASHINGTON OFFICE

PATENT TRADEMARK OFFICE

Date: May 16, 2003

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